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| For Academic Affairs and Research Use Only |
| Proposal Number |  |
| CIP Code:  |  |
| Degree Code: |  |

**New or Modified Course Proposal Form**

**[x ] Undergraduate Curriculum Council**

**[ ] Graduate Council**

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| --- |
| **[ x]New Course, [ ]Experimental Course (1-time offering), or [ ]Modified Course (Check one box)** |

Signed paper copies of proposals submitted for consideration are no longer required. Please type approver name and enter date of approval.

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| Jim Washam 10/9/2020**Department Curriculum Committee Chair** | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Enter date…**COPE Chair (if applicable)** |
| Patricia Quinn Johnston 10/26/2020**Department Chair** | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Enter date…**Head of Unit (if applicable)**   |
| Melodie Philhours 10/28/2020**College Curriculum Committee Chair** | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Enter date…**Undergraduate Curriculum Council Chair** |
| Mary Elizabeth Spence 10/27/2020**Office of Assessment (new courses only)** | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Enter date…**Graduate Curriculum Committee Chair** |
| Melody Lo 10/28/2020**College Dean** | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Enter date…**Vice Chancellor for Academic Affairs** |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Enter date…**General Education Committee Chair (if applicable)**   |  |

1. **Contact Person (Name, Email Address, Phone Number)**

Patricia Quinn Robertson Johnston probertson@astate.edu 870-972-2300

1. **Proposed starting term and Bulletin year for new course or modification to take effect**

Fall 2021; Bulletin Year 2021-2022Er text...

**Instructions:**

*Please complete all sections unless otherwise noted. For course modifications, sections with a “Modification requested?” prompt need not be completed if the answer is “No.”*

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|  | **Current (Course Modifications Only)** | **Proposed (New or Modified)** *(Indicate “N/A” if no modification)* |
| **Prefix** | **N/A** | **LAW**  |
| **Number\*** | **N/A** | **4083** |
| **Title** | **N/A** | **Bank Regulation and Compliance** |
| **Description\*\*** |  | An introduction to bank law and regulation, including affiliations, international banking, examination and enforcement, bank failure and systemic risk, anti-terrorism and anti-corruption issues, and protection of consumers, communities and other constitutents. |

 ***\**** (Confirm with the Registrar’s Office that number chosen has not been used before and is available for use. For variable credit courses, indicate variable range. *Proposed number for experimental course is 9*. )

\*\*Forty words or fewer as it should appear in the Bulletin.

1. **Proposed prerequisites and major restrictions** **[Modification requested? Yes/No]**

(Indicate all prerequisites. If this course is restricted to a specific major, which major. If a student does not have the prerequisites or does not have the appropriate major, the student will not be allowed to register).

1. No Are there any prerequisites? NO
	1. If yes, which ones?

Enter text...

* 1. Why or why not?

 Enter text...

1. No Is this course restricted to a specific major?
	1. If yes, which major? Enter text...
2. **Proposed course frequency [Modification requested? Yes/No]**

(e.g. Fall, Spring, Summer; if irregularly offered, please indicate, “irregular.”) *Not applicable to Graduate courses.*

Fall

1. **Proposed course type [Modification requested? Yes/No]**

Will this course be lecture only, lab only, lecture and lab, activity (e.g., physical education), dissertation/thesis, capstone, independent study, internship/practicum, seminar, special topics, or studio? Please choose one.

Lecture

1. **Proposed grade type [Modification requested? Yes/No]**

What is the grade type (i.e. standard letter, credit/no credit, pass/fail, no grade, developmental, or other [please elaborate])

Standard Letter

1. **Yes / No** Is this course dual-listed (undergraduate/graduate)? NO
2. **Yes / No** Is this course cross-listed? NO

*(If it is, all course entries must be identical including course descriptions. Submit appropriate documentation for requested changes. It is important to check the course description of an existing course when adding a new cross-listed course.)*

**a.** – If yes, please list the prefix and course number of the cross-listed course.

 N/A

 **b.** – **Yes / No** Can the cross-listed course be used to satisfy the prerequisite or degree requirements this course satisfies?

 N/A

1. **Yes / No** Is this course in support of a new program? NO

a. If yes, what program?

1. **Yes / No** Will this course be a one-to-one equivalent to a deleted course or previous version of this course (please check with the Registrar if unsure)? NO

a. If yes, which course?

Enter text...

**Course Details**

1. **Proposed outline** **[Modification requested? Yes/No]**

(The course outline should be topical by weeks and should be sufficient in detail to allow for judgment of the content of the course.)

Week 1: Introduction to Bank Compliance and Regulatory Issues – Sources of Law, Ethics, History

Week 2: Banks: Fundamental Concepts

Week 3: Banking Law Basics – Entry, Bank Powers, Geographic Restrictions, Fundamental Changes

Week 4: Affiliations – Restrictions on Banks’ Transactions with Affiliates, Bank Holding Company Basics,

Week 5: Financial Holding Companies, Thrift Holding Companies, Subsidiaries of Banks, Volcker Rule, Financial Conglomerates

Week 6: International Banking

Week 7: Student Presentations

Week 8: Examination and Enforcement

Week 9: Bank Failure and Systemic Risk

Week 10: Abuse of Market Power, Tying, Data Security (Gramm Leach Bliley), Privacy, Usury

Week 11: Discrimination in Lending, Truth in Lending, Credit Reporting, Predatory Lending,

Week 12: Real Estate, Debt Collection, Unfair, Deceptive, or Abusive Acts and Practices

Week 13: Arbitration Clauses, Community Reinvestment and Development, Lender Liability

Week 14: Anti-Terrorism and Anti-Corruption Issues:  The Foreign Corrupt Practice Act and Money Laundering

Week 15: Student Presentations

End of Semester: Final Exam

1. **Proposed special features** **[Modification requested? Yes/No]**

(e.g. labs, exhibits, site visitations, etc.)

N/A

1. **Department staffing and classroom/lab resources**

Existing faculty will teach in existing classrooms; no lab resources are needed

1. Will this require additional faculty, supplies, etc.?

 NO

1. **Yes / No** Does this course require course fees? NO

 *If yes: please attach the New Program Tuition and Fees form, which is available from the UCC website.*

**Justification**

**Modification Justification (Course Modifications Only)**

1. Justification for Modification(s)

**New Course Justification (New Courses Only)**

1. Justification for course. Must include:

 a. Academic rationale and goals for the course (skills or level of knowledge students can be expected to attain)

 The course will be required for the Finance, Banking Emphasis major. The successful student will identify violations of bank law and regulation and analyze the impact of violations of bank law and regulation on the performance of a bank. This will contribute to and enhance the overall Finance, Banking Emphasis Program Student Learning Outcome that successful students will analyze the performance of a bank. In addition, the successful student will demonstrate effective and professional oral communication (a Neil Griffin College of Business student learning outcome)..

b. How does the course fit with the mission of the department? If course is mandated by an accrediting or certifying agency, include the directive.

 The Finance, Banking Emphasis major prepares students for a wide variety of careers positions in banks, credit unions, and government. Many finance major graduates serve as loan officers in banks, supervise commercial credit departments, work in bank compliance, or work for the FDIC, Federal Reserve, or OCC. The course contributes to the following Finance, Banking Emphasis student learning outcome: Analyze performance of a bank. The advisory board for this major has state that an understanding of banking law and regulation is critical for a career in banking. We are strengthening our Finance, Banking Emphasis degree plan to include more courses that address the needs our Finance, Banking Emphasis advisory board has deemed important for a successful career in banking and bank regulation. We anticipate that our students will have more career opportunities and success due to these changes. This class will help students learn to analyze performance of a bank (a Program-level student learning outcome of Finance, Banking Emphasis) and it will also contribute to the College of Business student learning outcome of effective and professional oral communication

c. Student population served.

Finance, Banking Emphasis majors will be required to take this course. This course is also a great upper-level business elective for Business Administration majors and other students interested in business law, finance, or pre-law.

d. Rationale for the level of the course (lower, upper, or graduate).

This course is an advanced law course with significant, detailed readings about banking law and regulation. Advanced undergraduate research will be required for presentations in class. It will be a required upper-level course for undergraduate Finance, Banking Emphasis majors.

**Assessment**

**Assessment Plan Modifications (Course Modifications Only)**

1. **Yes / No** Do the proposed modifications result in a change to the assessment plan? N/A

 *If yes, please complete the Assessment section of the proposal*

**Relationship with Current Program-Level Assessment Process (Course modifications skip this section unless the answer to #18 is “Yes”)**

1. What is/are the intended program-level learning outcome/s for students enrolled in this course? Where will this course fit into an already existing program assessment process?

This course will be required for all Finance, Banking Emphasis majors. This course will contribute to the following program-level learning outcome for the Finance, Banking Emphasis program: Analyze the performance of a bank.

1. Considering the indicated program-level learning outcome/s (from question #19), please fill out the following table to show how and where this course fits into the program’s continuous improvement assessment process.

*For further assistance, please see the ‘Expanded Instructions’ document available on the UCC - Forms website for guidance, or contact the Office of Assessment at 870-972-2989.*

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| **Program-Level Outcome 1 (from question #19)** | The successful student will: Analyze the performance of a bank. |
| Assessment Measure | Direct measure: We will include on an examination questions that require students to analyze the performance of a bank. Indirect measure: Survey of Finance majors  |
| Assessment Timetable | Once every three years, beginning Spring 2018 |
| Who is responsible for assessing and reporting on the results? | Finance faculty and department chair |

 *(Repeat if this new course will support additional program-level outcomes)*

 **Course-Level Outcomes**

1. What are the course-level outcomes for students enrolled in this course and the associated assessment measures?

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| **Outcome 1** | The successful student will: Identify violations of bank law and regulation. |
| Which learning activities are responsible for this outcome? | Students will be required to answer questions on exams that require the students to identify violations of bank law and regulation. Lectures and class discussions will contribute to this outcome.  |
| Assessment Measure  | Midterm exam and final exam |

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| **Outcome 2** | The successful student will: Analyze the impact of violations of bank law and regulation on the performance of a bank. |
| Which learning activities are responsible for this outcome? | Students will be give oral presentations in class to analyze the impact of violations of bank law and regulation on the performance of a bank. Lectures, class discussions, quizzes, and exams will contribute to this outcome.  |
| Assessment Measure  | Oral presentations in class. |

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| **Outcome 3** | The successful student will: Demonstrate effective and professional oral communication. |
| Which learning activities are responsible for this outcome? | Students will give oral presentations in class. Students will receive guidance and training on how to make effective and professional oral presentations |
| Assessment Measure  | Oral presentations in class. |

*(Repeat if needed for additional outcomes)*

**Bulletin Changes**

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| **Instructions**  |
| **Please visit** [**http://www.astate.edu/a/registrar/students/bulletins/index.dot**](http://www.astate.edu/a/registrar/students/bulletins/index.dot) **and select the most recent version of the bulletin. Copy and paste all bulletin pages this proposal affects below. Please include a before (with changed areas highlighted) and after of all affected sections.** **\*Please note: Courses are often listed in multiple sections of the bulletin. To ensure that all affected sections have been located, please search the bulletin (ctrl+F) for the appropriate courses before submission of this form.**  |

**BEFORE**

Law (LAW)

**LAW 2023. Legal Environment of Business** Introduction to the fundamental elements of the Anglo American legal system and its common law origins. The scope of the course will include the application and operation of the legal system in the remedy of business disputes, the development and operation of the court system, and the regulation of American business and industry by the United States government. Fall, Spring, Summer. (ACTS#: BLAW 2003)

**LAW 4033. Law of Commercial Transactions** Business related legal subject matter reflecting marketplace problems and considerations. Topics include the law of sales, secured transactions, commercial paper, contracts, and bankruptcy. Prerequisite, LAW 2023. Irregular.

**LAW 4043. Law of Business Organizations** Business related legal subject matter reflecting marketplace problems and considerations. Topics include the law of corporations, partnerships, agency, and property. Prerequisite, LAW 2023. Fall, Spring, Summer.

**LAW 4053. Employment Law** Analysis of current employment law practices as applied to human resource management, with emphasis on federal and state civil rights laws. Prerequisites, LAW 2023. Spring.

**LAW 4063. Hospitality Law** Acomprehensive study of the legal aspects of the hospitality industry with an emphasis on compliance and prevention of liabilities. Prerequisite, LAW 2023. Fall.

**LAW 4073. Business Law in Popular Culture** A study of the interaction of popular legal culture with business law in a variety of contexts. Prerequisite, LAW 2023 or instructor permission. Fall, odd.

**LAW 459V. Special Problems in Law** Individual problems in law arranged in consultation with the instructor. Must be approved by department chair. Prerequisite, LAW 2023. Fall, Spring, Sum- mer.

Library Resources (LIR)

**LIR 1011. Introduction to Academic Research** Strategies for effective academic research, including: selecting and using appropriate electronic and traditional resources, formulating searches, evaluating the quality and reliability of sources, and using information ethically. Fall, Spring.

**LIR 1021. Introduction to Academic Research Biology Strategies for effective academic research,** including: selecting and using appropriate electronic and traditional resources, formulating searches, evaluating the quality and reliability of sources, and using information ethically. Content related to the departmental major is included. Fall, Spring.

Mathematics (MATH)

**MATH 0003. Introductory Algebra** Credit not applicable toward a degree. Real numbers, inequalities, linear equations, exponents, polynomials, and rational expressions. A grade of C or better must be made in this course before enrolling in MATH 0013. Prerequisite, MATH ACT of 16. The grade in this course will not be used to compute semester and cumulative grade point averages. The course does not count toward any degree. Fall, Spring, Summer.

*The bulletin can be accessed at* [*https://www.astate.edu/a/registrar/students/bulletins/*](http://www.astate.edu/a/registrar/students/bulletins/)

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