Arkansas State University – Information Technology Services
IT Security Incident Response Plan

1) The person who discovers the incident will call the ITS Security Panel (See Appendix A for contact information) or email (security@astate.edu) or contact the ITS front desk at 870-972-3033 or after normal business hours, call 870-926-5834.

2) The IT Security Panel member who receives the call (or discovered the incident) will notify the Security Panel and appropriate staff members using both email and phone messages while initiating steps to isolate and neutralize the threat. The staff member will log the information received on the attached Incident Identification Form.

3) Contacted members of the panel will meet or discuss the situation over the telephone and evaluate current status and assess the incident and additional response requirements.
   a) Is the incident still in progress? If yes, take immediate action to disconnect or discontinue the incident.
   b) What data or property is threatened/has been compromised and how critical is it?
   c) What is the impact if the attack should/has succeed(ed)? Minimal, serious, or critical?
   d) What system or systems are targeted, where are they located physically and on the network?

4) The incident will be categorized into the highest applicable level of one of the following categories:
   a) Category one - A threat to public safety or life.
   b) Category two - A threat to sensitive data
   c) Category three - A threat to computer systems
   d) Category four - A disruption of services

5) In the event of breach of PII, University Legal Counsel will be notified for opinion and recommendations on required notification protocol, etc.

6) Do non-Panel members (UPD, Executive Council, University Communications Director) need to be notified and briefed on the incident?
   UPD: 870-972-2093
   Jonesboro PD: 870-935-5551
   FBI: 870-932-0700

7) ITS staff members will follow the appropriate procedure based upon the assessment and nature of the incident. See attached Incident Response Procedures/Contact list.

8) Team members will restore the affected system(s) to the uninfected state. They may do any or more of the following:
a) Re-install the affected system(s) from scratch and restore data from backups if necessary. Preserve evidence following chain of custody requirements with UPD.

b) Make users change passwords if passwords may have been sniffed.

c) Be sure the system has been hardened by turning off or uninstalling unused services.

d) Be sure the system is fully patched.

e) Be sure real time virus protection and intrusion detection is running.

f) Be sure the system is logging the correct events and to the proper level.

9) Authorized Team members will use forensic techniques, including reviewing system logs, intrusion detection logs, and interviewing witnesses and the incident victim to determine how the incident was caused. Team members will recommend changes to prevent the occurrence from happening again or infecting other systems.

10) Campus wide procedure changes will be presented to Executive Council for approval; departmental changes will be implemented upon CIO approval.

11) Documentation—the following shall be documented and filed with the Security Coordinator:

   a) How the incident was discovered.

   b) The category of the incident.

   c) How the incident occurred, whether through email, firewall, etc.

   d) Where the attack came from, such as IP addresses and other related information about the attacker.

   e) What was the response.

   f) Whether the response was effective.

12) Evidence Preservation—make copies of logs, email, and other communication will be maintained as evidence as long as necessary to complete investigation, prosecution and beyond in case of an appeal.

13) Assess damage and cost—assess the damage to the organization and estimate both the damage cost and the cost of the containment efforts.

14) Review response and update procedures using the attached Incident Response Procedure Contacts list.

15) Determine the type of follow-up plan/announcement that is required, frequency and timeline. Document the follow-up plan and continue to log status for the duration of timeline.

16) Complete the Incident Post Review Checklist and file with Security Coordinator for each incident.
Incident Post Review Checklist

a) Could an additional policy have prevented the intrusion?
b) Was a procedure or policy not followed which allowed the intrusion? What could be changed to ensure that the procedure or policy is followed in the future?
c) Was the incident response appropriate? How could it be improved?
d) Was every appropriate party informed in a timely manner?
e) Were the incident-response procedures detailed and did they cover the entire situation?
f) Have changes been made to prevent a recurrence? Have all systems been patched, systems locked down, passwords changed, anti-virus updated, email policies set, etc.?
g) Have changes been made to prevent a new and similar infection?
h) Has a follow-up plan/assessment been established?
Appendix A

Security Panel Contact List

<table>
<thead>
<tr>
<th>Name</th>
<th>Phone Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Timothy Cureton</td>
<td>870-926-6284</td>
</tr>
<tr>
<td>David Engelken</td>
<td>870-930-4782</td>
</tr>
<tr>
<td>Jennifer Harrell</td>
<td>870-253-9417</td>
</tr>
<tr>
<td>Teresa Patterson</td>
<td>870-897-1708</td>
</tr>
<tr>
<td>Michael Privett</td>
<td>870-219-7309</td>
</tr>
<tr>
<td>Henry Torres</td>
<td>870-206-3320</td>
</tr>
<tr>
<td>Justin Walker</td>
<td>870-273-2956</td>
</tr>
<tr>
<td>Margaret Watson</td>
<td>870-897-6407</td>
</tr>
</tbody>
</table>
Arkansas State University – Information Technology Services
IT Security Incident Identification

Date: ____________________________ Part 1 of 4

General Information

Incident Detector Information
Name: ____________________________ Date & Time: ____________________________
Title: ____________________________ Cell#: ____________________________
Phone: ____________________________ Location of incident: ____________________________
Email: ____________________________

Incident Summary

Type of Incident Detected:
• Denial of Service • Unauthorized Use • Probe • Hoax
• Malicious Code • Unauthorized Access • Other: ____________________________

Incident Location:
Site: ____________________________ Site Point of Contact (POC): ____________________________
POC phone: ____________________________ POC email: ____________________________
How was the incident detected: __________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________

Additional Information: _____________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
Part 2 of 4

a) Is the incident still in progress? _____________________________________________
__________________________________________________________________________
__________________________________________________________________________

b) What data or property was threatened/compromised____________________________
___________________________________________________________________________
____________________________________________________________________________


c) Describe impact of the attack - Minimal, serious, or critical? ______________________
____________________________________________________________________________
____________________________________________________________________________


d) What system(s) were targeted?_________________________________________
___________________________________________________________________________
____________________________________________________________________________

Incident category:
• Category one • Category two • Category three • Category four

Is mandatory notification required? Y/N attach copy of communication piece or other detail
Were non-Panel members notified and briefed on the incident?
• UPD • Executive Council • University Communications
• Jonesboro PD: • FBI • Other: ______________________
Check the following to indicate complete or explain below:

- Re-install the affected system(s) from scratch and restore data from backups if necessary.
- Preserve evidence following chain of custody requirements with UPD.
- Make users change passwords if passwords may have been sniffed.
- Be sure the system has been hardened by turning off or uninstalling unused services.
- Be sure the system is fully patched.
- Be sure real time virus protection and intrusion detection is running.
- Be sure the system is logging the correct events and to the proper level.

Describe forensic techniques and findings:
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________

Describe or attach recommended procedural changes:
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________

Describe the incident, how it was discovered and the response?
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________
__________________________________________________________________________________

Origin of attack? IP addresses and/or other related information:
__________________________________________________________________________________
Describe the response and its effectiveness

Additional Information:
Evidence Preservation:
___________________________________________________________________________________
___________________________________________________________________________________
___________________________________________________________________________________
___________________________________________________________________________________

Damage and cost:
___________________________________________________________________________________
___________________________________________________________________________________
___________________________________________________________________________________
___________________________________________________________________________________

Procedure updates:
___________________________________________________________________________________
___________________________________________________________________________________
___________________________________________________________________________________
___________________________________________________________________________________

Describe follow-up plan/announcement frequency and timeline. Document the follow-up plan and continue to log status for the duration of timeline.
___________________________________________________________________________________
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